

Dated: 08/10/2013

To,  
**The Bombay Stock Exchange Ltd**  
Corporate Relationship Dept,  
1st Floor, New Trading Ring,  
Rotunda Building, P. J. Towers,  
Dalal Street, Fort, Mumbai – 400 001

Ref: BSE Code 531447

Sub: Shareholding Pattern for the Quarter Ended 30<sup>th</sup> September, 2013

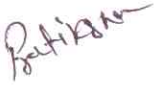
Dear Sir,

In terms of clause 35 of the Listing Agreement, we are enclosing herewith shareholding pattern in the prescribed format for the quarter ended 30<sup>th</sup> September, 2013 for your information and records.

Please acknowledge the receipt.

Thanking You,

Yours Faithfully,  
For Rockon Fintech Limited

  
Authorised Signatory

Encl: a/a



### Introductory sub-table (I)(a)

Name of the Company: <b>ROCKON FINTECH LIMITED</b>			
Scrip Code, Name of the scrip, class of security: <b>531447</b>			
Quarter ended: <b>30/09/2013</b>			
<b>Partly paid-up shares:-</b>	<b>No. of partly paid-up shares</b>	<b>As a % of total no. of partly paid-up shares</b>	<b>As a % of total no. of shares of the company</b>
Held by promoter/promoter group	0	0	0
Held by public	0	0	0
<b>Total - D</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Outstanding convertible securities:-</b>	<b>No. of outstanding securities</b>	<b>As a % of total no. of outstanding convertible securities</b>	<b>As a % of total no. of shares of the company, assuming full conversion of the convertible securities</b>
Held by promoter/promoter group	0	0	0
Held by public	0	0	0
<b>Total - E</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Warrants:-</b>	<b>No. of warrants</b>	<b>As a % of total no. of warrants</b>	<b>As a % of total no. of shares of the company, assuming full conversion of warrants</b>
Held by promoter/promoter group	0	0	0
Held by public	0	0	0
<b>Total - F</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Total paid-up capital of the company, assuming full conversion of warrants and convertible securities</b>	<b>16517690</b>	<b>0</b>	<b>0</b>

For ROCKON FINTECH LTD.

*James Agnew*  
Director (Authorized Signatory)

## Statement Showing Shareholding Pattern

Table (I)(a)

Category code	Category of Shareholder	Number of Shareholders	Total number of shares	Number of shares held in dematerialized form	Total shareholding as a percentage of total number of shares		Shares Pledged or otherwise encumbered	
					As a percentage of (A+B) <sup>1</sup>	As a percentage of (A+B+C)	Number of shares	As a percentage
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)= (VIII)/(IV)*100
(A)	<b>Shareholding of Promoter and Promoter Group<sup>2</sup></b>							
<b>1</b>	<b>Indian</b>							
(a)	Individuals/ Hindu Undivided Family	0	0	0	0.00	0.00	0	0.00
(b)	Central Government/ State Government(s)	0	0	0	0.00	0.00	0	0.00
(c)	Bodies Corporate	2	664586	664586	4.02	4.02	0	0.00
(d)	Financial Institutions/ Banks	0	0	0	0.00	0.00	0	0.00
(e)	Any Others(Specify)	0	0	0	0.00	0.00	0	0.00
(e-i)		0	0	0	0.00	0.00	0	0.00
	<b>Sub Total(A)(1)</b>	<b>2</b>	<b>664586</b>	<b>664586</b>	<b>4.02</b>	<b>4.02</b>	<b>0</b>	<b>0.00</b>
<b>2</b>	<b>Foreign</b>							
a	Individuals (Non-Residents Individuals/ Foreign Individuals)	0	0	0	0.00	0.00	0	0.00
b	Bodies Corporate	0	0	0	0.00	0.00	0	0.00
c	Institutions	0	0	0	0.00	0.00	0	0.00
d	Any Others(Specify)	0	0	0	0.00	0.00	0	0.00
d-i		0	0	0	0.00	0.00	0	0.00
	<b>Sub Total(A)(2)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>
	<b>Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)</b>	<b>2</b>	<b>664586</b>	<b>664586</b>	<b>4.02</b>	<b>4.02</b>	<b>0</b>	<b>0.00</b>
(B)	<b>Public shareholding</b>							
<b>1</b>	<b>Institutions</b>							
(a)	Mutual Funds/ UTI	0	0	0	0.00	0.00	0	0.00
(b)	Financial Institutions/ Banks	1	100000	100000	0.61	0.61	0	0.00
(c)	Central Government/ State Government(s)	0	0	0	0.00	0.00	0	0.00
(d)	Venture Capital Funds	0	0	0	0.00	0.00	0	0.00
(e)	Insurance Companies	0	0	0	0.00	0.00	0	0.00
(f)	Foreign Institutional Investors	0	0	0	0.00	0.00	0	0.00
(g)	Foreign Venture Capital Investors	0	0	0	0.00	0.00	0	0.00
(h)	Any Other (specify)	0	0	0	0.00	0.00	0	0.00
(h-i)		0	0	0	0.00	0.00	0	0.00
	<b>Sub-Total (B)(1)</b>	<b>1</b>	<b>100000</b>	<b>100000</b>	<b>0.61</b>	<b>0.61</b>	<b>0</b>	<b>0.00</b>
<b>B 2</b>	<b>Non-institutions</b>							
(a)	Bodies Corporate	93	6235303	6203903	37.75	37.75	0	0.00
(b)	Individuals							
I	Individuals -i. Individual shareholders holding nominal share capital up to Rs 1 lakh	3369	1873136	875371	11.34	11.34	0	0.00
II	ii. Individual shareholders holding nominal share capital in excess of Rs. 1 lakh.	37	7597776	6582032	46.00	46.00	0	0.00
(c)	Any Other (specify)							
(c-i)	NRI (Repeat & Non Repeat)	13	11549	2749	0.07	0.07	0	0.00
(c-ii)	Hindu Undivided Family (HUF)	28	23090	23090	0.14	0.14	0	0.00
(c-iii)	Clearing Member	2	12250	12250	0.07	0.07	0	0.00
	<b>Sub-Total (B)(2)</b>	<b>3542</b>	<b>15753104</b>	<b>13699395</b>	<b>95.37</b>	<b>95.37</b>	<b>0</b>	<b>0.00</b>
(B)	<b>Total Public Shareholding (B) = (B)(1)+(B)(2)</b>	<b>3543</b>	<b>15853104</b>	<b>13799395</b>	<b>95.98</b>	<b>95.98</b>	<b>0</b>	<b>0.00</b>
	<b>TOTAL (A)+(B)</b>	<b>3545</b>	<b>16517690</b>	<b>14463981</b>	<b>100.00</b>	<b>100.00</b>	<b>0</b>	<b>0.00</b>
(C)	<b>Shares held by Custodians and against which Depository Receipts have been issued</b>							
1	Promoter and Promoter Group	0	0	0	0	0.00	0	0.00
2	Public	0	0	0	0	0.00	0	0.00
	<b>Sub-Total (C)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>
	<b>GRAND TOTAL (A)+(B)+(C)</b>	<b>3545</b>	<b>16517690</b>	<b>14463981</b>	<b>100.00</b>	<b>100.00</b>	<b>0</b>	<b>0.00</b>

For ROCKON FINTECH LTD.

Tanus Agn  
Director / Authorised Signatory

Sr.No	Notes
1	
2	
3	
4	
5	
6	
7	
8	
9	

For ROCKON FITTECH LTD.  
  
Director / Authorised Signatory

**(D)(b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category “Promoter and Promoter Group”**

Sr. No.	Name of the shareholder	Details of Shares held			Encumbered shares (*)			Details of warrants			Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
		Number of shares held	As a % of grand total (A)+(B)+(C)	No.	As a percentage (VI)=(V)/(III)*100	As a % of grand total (A)+(B)+(C) of sub-clause (I)(a)	Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	As a % total number of convertible securities of the same class			
(I)	(II)	(III)	(IV)	(V)	(VI)=(V)/(III)*100	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)		
1	Handful Investrade Pvt Ltd	605686	3.67	0	0.00	0.00	0	0	0	0	3.67		
2	Shree Nath Commercial and Finance Ltd	58900	0.36	0	0.00	0.00	0	0	0	0	0.36		
<b>TOTAL</b>		<b>664586</b>	<b>4.02</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>4.02</b>		

(\*) The term “encumbrance” has the same meaning as assigned to it in regulation 28(3) of the SAST Regulations, 2011

**FOR ROCKON FINTECH LTD.**

*Lawyer*  
Director / Authorised Signatory



(D)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company

Sr. No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	Number of shares	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (D)(a) above}	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	CHANDRAKANT BABU MOHITE	1000744	6.06	0	0	0	0	6.06
<b>TOTAL</b>		<b>1000744</b>	<b>6.06</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>6.06</b>

FOR ROCKON INFRASTRUCTURE LTD.

Director / Authorised Signatory







