

Regd. Off.: E-109, Crystal Plaza, New Link Road, Opp. Infinity Mall, Andheri (W), Mumbai - 400 053 Tel.: (022) 6152 2225, 6152 2221

Fax: (022) 6152 2234

E-mall: rockonfintech123@gmail.com

www.rockonfintech.com

Dated: 08/10/2013

To,
The Bombay Stock Exchange Ltd
Corporate Relationship Dept,
1st Floor, New Trading Ring,
Rotunda Building, P. J. Towers,
Dalal Street, Fort, Mumbai – 400 001

Ref: BSE Code 531447

Sub: Shareholding Pattern for the Quarter Ended 30th September, 2013

Dear Sir,

In terms of clause 35 of the Listing Agreement, we are enclosing herewith shareholding pattern in the prescribed format for the quarter ended 30th September, 2013 for your information and records.

Please acknowledge the receipt.

Thanking You,

Yours Faithfully, For Rockon Fintech Limited

Authorised Signatory

Encl: a/a



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Introductory sub-table (I)(a)

Name of the Company:	ROCKON FINTECH LIN	IITED	
Scrip Code, Name of the scri		1447	
Quarter ended: 30/09/20			
Partly paid-up shares:-	No. of partly paid-up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the company
Held by promoter/promoter group Held by public	0	0	0
Total - D	_	0	0
Outstanding convertible securities:	No. of outstanding securities	As a % of total no. of outstanding convertible securities	As a % of total no. of shares of the company, assuming full conversion of the convertible securities
Held by promoter/promoter group	0	0	0
Held by public	0	0	0
Total - E	0	0	0
Warrants:-	No. of warrants	As a % of total no. of warrants	As a % of total no. of shares of the company, assuming full conversion of warrants
Held by promoter/promoter group	0	0	0
Held by public	0	0	0
Total - F	0	0	0
Total paid-up capital of the company, assuming full conversion of warrants and convertible securities	16517690	0	0

Director Just arteed 6'errators

		Statement !	Table	(T)(a)				
Categ ory code	Category of Shareholder	Number of Shareholders	Table Total number of shares		percenta	cholding as a ge of total of shares		lged or otherwise umbered
(1)	(II)				As a percentage of(A+B) ¹	As a percentage of (A+B+C)	Number of shares	As a percentage
(A)	Shareholding of Promoter and Promoter Group ²	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)= (VIII)/(IV)*10
1	Indian							
(a)	Individuals/ Hindu Undivided Family	0	0	0	0.00			_
(b)	Central Government/ State Government(s)	0	0	0	0.00	0.00	0	0.00
(c)	Bodies Corporate	2	664586	664586	4.02	0.00	0	0.00
(d)	Financial Institutions/ Banks	0	0	0	0.00	4.02 0.00	0	0.00
(e)	Any Others(Specify)	0	0	0	0.00	0.00	0	0.00
(e-i)		0	0	0	0.00	0.00	0	0.00
	Sub Total(A)(1)	2	664586	66.1596				0.00
2	_		004360	664586	4.02	4.02	0	0.00
2	Foreign Individuals (Non-Residents Individuals/							_
a	Foreign Individuals)	0	0	0	0.00	0.00	0	0.00
b	Bodies Corporate	0	0	0	0.00	0.00	0	0.00
c d	Institutions	0	0	0	0.00	0.00	0	0.00
d-i	Any Others(Specify)	0	0	0	0.00	0.00	0	0.00
U-1		0	0	0	0.00	0.00	0	0.00
	Sub Total(A)(2)	0	0	0	0.00	0.00	0	0,00
	Total Shareholding of Promoter and						_	0.00
	Promoter Group (A)= $(A)(1)+(A)(2)$	2	664586	664586	4.02	4.02	0	0.00
	Public shareholding	_			_			
	Institutions							
	Mutual Funds/ UTI	- 0	0	0	0.00	0.00	0	0.00
	Financial Institutions Banks	1	100000	100000	0.61	0.61	0	0.00
	Central Government/ State Government(s)	0	0	0	0.00	0.00	0	0.00
-	Venture Capital Funds	0	0	0	0.00	0.00	0	0.00
	Insurance Companies Foreign Institutional Investors	0	0	0	0.00	0.00	0	0.00
(g)	Foreign Venture Capital Investors	0	0	0	0.00	0.00	0	0.00
(h)	Any Other (specify)	0	0	0	0.00	0.00	0	0.00
(h-i)		0	0	0	0.00	0.00	0	0.00
				.0	0.00	0.00	0	0.00
	Sub-Total (B)(1)	1	100000	100000	0.61	0.61	0	0.00
	Non-institutions							
	Bodies Corporate	93	6235303	6203903	37.75	37.75	0	0.00
1	ndividuals ndividuals -i. Individual shareholders holding				_			0,00
1	nominal share capital up to Rs 1 lakh	3369	1873136	875371	11.34	11.34	0	0.00
II s	i. Individual shareholders holding nominal share capital in excess of Rs. 1 lakh.	37	7597776	6582032	46.00	46.00	0	0.00
	Any Other (specify)							
	NRI (Repeat & Non Repeat)	13	11549	2749	0.07	0.07	0	0.00
	lindu Undivided Family (HUF)	28	23090	23090	0.14	0.14	0	0.00
2-111) (Tlearing Member	2	12250	12250	0.07	0.07	0	0.00
5	Sub-Total (B)(2)	3542	15753104	13699395	95.37	95.37	0	0.00
(B)	Total Public Shareholding (B) =	2542	15052104					
(B)(1)+(B)(2)	3543	15853104	13799395	95.98	95.98	0	0.00
_ 1	OTAL (A)+(B)	3545	16517690	14463981	100.00	100.00	0	0.00
(C) S	hares held by Custodians and against hich Depository Receipts have been issued							
	romoter and Promoter Group	0	0	0	0	0.00	0	0.00
	ublic	0	0	0	0	0,00	0	0.00
S	ub-Total (C)	0	0	0	0	0	0	0,00
0	GRAND TOTAL (A)+(B)+(C)	3545	16517690	14463981	100.00	100.00	0	0.00



9	00	7	o	(J)	4	ω	2	 Sr.No Notes	
								tes	

For ROCKON FILD ECH LTD.

Director / Authorised Signatory

(I)(b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"

TOTAL	2 30	2 01	(1)		No. Na
	Shree Nath Commercial and Finance Ltd	Handrid investrage PVI. Ltd	(II)		Name of the shareholder
664586	58900	605686	(III)	Number of shares held	Details of
4.02	0.36	3.67	Ŝ	As a % of grand total (A)+(B)+(C)	Details of Shares held
0	0	0	3	No.	
0.00	0.00	0.00	(VI)=(V)/(III)*100	As a percentage	Encumbered shares (*)
0.00	0.00	0.00	O (VII)	As a % of grand total As a percentage (A)+(B)+(C) of sub-clause (I)(a)	es (*)
0	0	0	(VIII)	Number of warrants	Details of
0	0	0	(IX)	As a % total number of warrants of the same class	Details of warrants
0	0	0	(X)	Number of convertible securities held	Details of sect
0	0	0	(XI)	As a % total number of convertible securities of the same class	Details of convertible securities
4.02	0.36	3.67	(XII)	underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital	Total shares (including

(*) The term "encumbrance" has the same meaning as assigned to it in regulation 28(3) of the SAST Regulations, 2011

Director / Authorised Signatory

FOR ROCKON FINTECH LTD.

Director / Authorised Signatury

TOTAL	29 R					25 K	24 A		× .			19 1		17 R	16 F	15 K	14 R	13 S	12 S	11 11	10 F	9 F	8 E	7 I	6	CA.	100	w					Z 9.
	Rajesh Tukaram Dambre	Sandesh Babaram Mhabdi	Gent Continionity and Derivatives Pvi Lid	om Commodity and Desirations B. + I + J	Wakil Raibhar	Keten Jashwantlal Shah	Astabhuja Construction Pvt. Ltd.	Shreehari Vinimay Private Limited	Nityadhara Plaza Pvt. Ltd.	Victor Commodeal Private Limited	Surface Finance Pvt. Ltd.	Mangesh Madhukar Dhotre	Gannayak Barter Private Limited	Rupak Developers Pvt Ltd	Fairlink Housing Private Limited	Kashiram Pundalik Kadam	Rockers Tradelink Private Limited	Sumangalam Financial Advisory Private Limited	Shyam Laxman Pedamkar	Intercity Builders Private Limited	Prashant Shashikant Sawant	Pushpaben Panachand Gala	Bhawarpuri B Goswami	Ellisbridge Trading Private Limited	Sarbari Vincom Private Limited	Shweta Shyam Pedamkar	Navdurga Investment Consultants Private Limited	Mohan Kukreja	Sunil Kukreja	Chandrakant Babu Mohite			Name of the snareholder
11743706	171801	183555	193500	200000	000000	187850	200000	210000	210000	212500	302900	333106	335000	344290	355000	373192	374088	378402	393160	394509	415410	443741	493317	496910	536914	670117	733700	800000	800000	1000744			Number of shares held
71.10	1.04	1.11	1.17	1.21	1.21	1.14	1.21	1.27	1.27	1.29	1.83	2.02	2.03	2.08	2.15	2.26	2.26	2.29	2.38	2.39	2.51	2.69	2.99	3.01	3.25	4.06	4.44	4.84	4.84	6.06	indicated in Statement at para (1)(a) above}	(A)+(B)+(C)	Shares as a percentage of total number of shares
0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	held	Number of warrants	Details of
0	0	0	0	0		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	number of warrants of the same class	As a %	Details of warrants
0	0	0	0	0		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	securities held	Number of	Details of secu
0	0	0	0	0		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	convertible securities of the same class	% w.r.t total	Details of convertible securities
71 10	1.04	1.11	1.17	1.21	1.14	1 14	121	1.27	1.27	1.29	1.83	2.02	2.03	2.08	2.15	2.26	2.26	2.29	2.38	2.39	2.51	2.69	2.99	3.01	3.25	4.06	4.44	4.84	4.84	6.06	convertible securities) as a % of diluted share capital	full conversion of warrants and	Total shares (including underlying shares assuming

"Public" and holding more than 1% of the total number of shares (I)(c) (i) - Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category

(I)(c)(ii)Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company

TATOL				Sr. No.
		CHANDRAKANT BABU MOHITE		Sr. No. Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them
1000744		1000744		Number of shares
6.06		6.06	total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	Shares as a percentage of
0		0	Number of warrants	Details o
0		0	As a % total number of warrants of the same class	Details of warrants
0	c	0	Number convertil securitic	Details of
0	c	0	of %w.r.t total number of s convertible securities of the same class	Details of convertible
90.9	0.00	90.3	shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital	Total shares

For ROCKO TO STATE LTD.

Director / Authorised Signatory

(I)(d) Statement showing details of locked-in shares

Sr. No.	Sr. No. Name of the shareholder	Number of locked-in shares
1	NIL	0
TOTAL		0

For ROCKON FINTECH LTD.

Director / Authorised Signator

(II)(a) Statement showing details of Depository Receipts (DRs)

TOTAL					-	Sr. No.
					(#	Sr. No. Type of outstanding DR (ADRs, GDRs, SDRs, etc.)
0						Number of outstanding DRs
0						Number of shares underlying outstanding DRs
0.00					0.00	Number of shares underlying outstanding DRs Shares underlying outstanding DRs percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}

For ROCKON FINTECH LTD. Director / Authorised Signators

(II)(b) Statement showing holding of Depository Receipts (DRs), where underlying shares held by "promoter / promoter group" are in excess of 1% of the total number of shares

		9	0	0	1 0	K 0	4 0	\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \	2 2	5 -	-		Sr. No. Name of the DR Holder	
												(ADRs, G		
												DRs, SDRs, etc.)	Type of outstanding DR Number of shares	
0											DRs	(ADRs, GDRs, SDRs, etc.) underlying outstanding	Number of shares	
0.00		0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00				

Por ROCKON FINTECH LTD