

# **ROCKON ENTERPRISES LIMITED**

(Formerly Rockon Fintech Limited)

CIN: L65923MH1976PLC019072

Regd Off:  
E-109, Crystal Plaza,  
New Link Road, Opp. Infinity Mall,  
Andheri (W), Mumbai - 400053  
Tel.: (022) - 61522222, 61522235  
Fax: (022) - 61522234  
Email: rockonfintech123@gmail.com  
Web-site: www.rockonfintech.com

Date: 11/01/2016

To,  
Corporate Services  
Bombay Stock Exchange Ltd.  
Corporate Relationship Dept,  
1<sup>st</sup> Floor, New Trading Ring,  
Rotunda Building, P. J. Towers,  
Dalal Street, Fort, Mumbai – 400 001

**Ref: BSE Code: 531447**

**Sub: Submission of Corporate Governance Report for the Quarter ended 31/12/2015**

Dear Sir,

In terms of regulation 27(2) of the new listing obligation and disclosure regulation (i.e. LODR 2015), we are enclosing herewith Corporate Governance Report in the prescribed format for the quarter ended 31<sup>st</sup> December, 2015 for your reference and record.

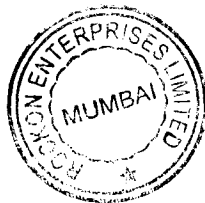
Kindly acknowledge the receipt.

Thanking you,

Yours faithfully,  
For Rockon Enterprises Limited



Authorised Signatory.



Encl: a/a

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## Corporate Governance Report

Name of the Company	M/s. Rockon Enterprises Limited (Formerly Rockon Fintech Limited)
Quarter Ending	31 <sup>st</sup> December, 2015
Scrip Code	531447

### I. Composition of Board of Directors

Name of the Director	PAN & DIN	Category	Date of appointment in the current term/cessation	Tenure	No. of directorship in listed entities including this listed entity	No. of memberships in Audit/Stakeholder committee(s) including this listed entity	No. of post of chairperson in Audit/Stakeholder Committee held in listed entities including this listed entity
Mrs. Tanu Giriraj Agarwal	AADPA70031 00290966	Promoter & Executive Director	24/03/2010	-	3	6	0
Mr. Nirav Deepak Shah	BLRPS5398L 06936365	Executive Director	21/07/2014	-	1	0	0
Ms. Seema Nirmalsingh Sidhu	AQNPS2299A 06924919	Non Executive Independent Director	23/03/2015	9 Months	6	10	4
Ms. Swati Digamber Shinde	DTYPS0220Q 07286912	Non Executive Independent Director	21/09/2015	3 Months	6	10	4

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## II. Composition of Committees

Name of the Committee	Name of Committee Members	Category
1. Audit Committee	Seema Nirmalsingh Sidhu Tanu Giriraj Agarwal Swati Digamber Shinde	(Chairman & Member) (Member) (Member)
2. Nomination & Remuneration Committee	Seema Nirmalsingh Sidhu Tanu Giriraj Agarwal Swati Digamber Shinde	(Chairman & Member) (Member) (Member)
3. Stakeholders Relationship Committee	Seema Nirmalsingh Sidhu Tanu Giriraj Agarwal Swati Digamber Shinde	(Chairman & Member) (Member) (Member)
4. Risk Management Committee	N.A	N.A

As per Clause 21 (5) Risk Management Committee is applicable to top 100 listed entities only.

## III. Meetings of Board of Directors

Date(s) of meeting, if any in the previous quarter ended 30.09.2015	Date(s) of meeting, if any in the relevant quarter ended 31.12.2015	Maximum gap between any two consecutive meetings
1 11/08/2015	1 07/11/2015	46 days
2 02/09/2015	2 -	
3 21/09/2015	3 -	
4 -	4 -	

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## IV. Meetings of Committees

Type of Committee	Date(s) of meeting of the committee in the relevant quarter ended 31.12.2015	Whether requirement of quorum met	Date(s) of meeting of the committee in the previous quarter ended 30.09.2015	Maximum gap between any two consecutive meetings
Audit Committee	05/11/2015	Yes, All the three members were present in the meeting	10/08/2015	86 days

## V. Related Party Transactions

Subject	Compliance Status (Yes/No/NA)
Whether prior approval of Audit Committee obtained	Yes
Whether Shareholders approval obtained for material RPT	Yes
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes

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## VI. Affirmations

1. The Composition of the Board of Directors is in terms of SEBI (Listing Obligations and disclosure requirements) Regulations, 2015
2. The Composition of the following committees is in terms of SEBI (Listing Obligations and disclosure requirements) Regulations, 2015
  - a. Audit Committee
  - b. Nomination & Remuneration Committee
  - c. Stakeholders Relationship Committee
3. The committee members have been made aware of their powers, roles and responsibilities as specified in SEBI (Listing Obligations and disclosure requirements) Regulations, 2015
4. The meetings of the Board of Directors and the above committees have been conducted in the manner as specified in SEBI (Listing Obligations and disclosure requirements) Regulations, 2015
5. This report has been placed before the Board of Directors.

### Note relating to the composition of the Nomination and Remuneration Committee:

The Nomination and Remuneration Committee of the Company consists of two Non –Executive Independent Director's i.e. Ms. Seema Nirmalsingh Sidhu and Ms. Swati Digamber Shinde and One Executive Director Mrs. Tanu Giriraj Agarwal. As the company consists of four directors on the Board. The committee is constituted as per the composition of Board. The committee satisfies the criteria of 50% independent directors in the committee. The Company is in the process to appoint the Non- Executive Director and will comply with the requirement of SEBI LODR Regulation, 2015 in terms of composition of Nomination and Remuneration Committee.

For Rockon Enterprises Limited (Formerly Rockon Fintech Limited)

Tanu Giriraj Agarwal  
(Compliance Officer)

