

# ROCKON ENTERPRISES LIMITED

(Formerly Rockon Fintech Limited)

CIN: L65923MH1976PLC019072

Regd Off:  
E-109, Crystal Plaza,  
New Link Road, Opp. Infinity  
Andheri (W), Mumbai - 400053  
Tel.: (022) - 61522222, 61522223  
Fax: (022) - 61522234  
Email: rockonfintech123@gmail.com  
Web-site: www.rockonfintech.com

Date: 08/02/2017

To,  
The Bombay Stock Exchange Ltd  
Corporate Relationship Dept,  
1<sup>st</sup> Floor, New Trading Ring,  
Rotunda Building, P. J. Towers,  
Dalal Street, Fort, Mumbai – 400 001

**BSE Scrip Code: 531447**  
**Sub: Outcome of Board Meeting**

Dear Sir,

This is to inform you that 10/2016-17 meeting of the Board of Directors of the Company was held on Wednesday, on 08<sup>th</sup> February 2017 at the registered office of the Company at E-109, Crystal Plaza, New Link Road, Andheri (West), Mumbai - 400053 at 11:00 A.M. and concluded at 02:00 p.m. The Board considered and approved the following:

1. Standalone Unaudited Financial Results for the quarter ended 31<sup>st</sup> December, 2016 along with Limited Review Report on the same.
2. Appointment of Ms. Kajol Tak as Company Secretary cum Compliance officer pursuant to section 203 of the companies act 2013 and Regulation 6(1) of SEBI (LODR) Regulations, 2015 w.e.f. February 8, 2017.

Contact Details of Compliance Officer for Redressal of Investors Grievances are given hereunder:

Name of Company Secretary and Compliance Officer	Ms. Kajol Tak
Contact No.	022-61522222
Email Id:	rockonfintech123@gmail.com

We request you to take the above information on records and update your database.

3. The Board took the note on Compliance done under SEBI (LODR), Regulation 2015 for the quarter ended 31.12.2016.
4. The Board took the note minutes of the previous meetings of Board and committees held prior the date of today's meeting.

5. Authorised Mrs. Tanu Giriraj Agrawal and Ms. Kajol Tak as Key Managerial Personnel for the purpose of determining materiality of an event or information under Regulation 30 (5) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

The company is hereby disclosing the following details of newly appointed Company Secretary as mandated under the provisions of Regulation 30 and SEBI circular dated 9<sup>th</sup> September 2015, regarding Continuous Disclosure requirements for Listed Entities:

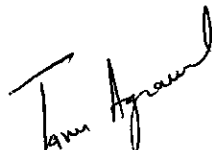
<b>Brief Profile of Company Secretary:</b>	
Name of the Directors	Ms. Kajol Tak
Date of Birth	07/06/1992
Date of Appointment	08/02/2017
Permanent Account Number (PAN)	AULPT5967C
Membership no.	40982
Qualifications	Company Secretary and M.Com
Directorship held in other companies	NIL
Reason for Change	Appointed as an Additional Director in the category of Non Executive Independent Director
Brief Profile	She is an Associate Member of the Institute of Company Secretaries of India, who possesses the requisite qualification as prescribed under the Companies (Appointment and qualifications of Secretary) Rules, 1988. She has done her post graduation in Commerce stream. She has more than one year post qualification experience in secretarial and compliance work. She has the ability and skills, required to lead the company in good corporate governance.

Kindly take into your record.

Thanking You,

Yours Faithfully

**For Rockon Enterprises Limited**



Tanu Agarwal  
[Whole Time Director]




<b>General information about company</b>	
Scrip code	531447
Name of company	Rockon Enterprises Ltd
Result Type	Main Format
Class of security	Equity
Date of start of financial year	01-04-2016
Date of end of financial year	31-03-2017
Date of board meeting when results were approved	08-02-2017
Date on which prior intimation of the meeting for considering financial results was informed to the exchange	27-01-2017
Description of presentation currency	INR
Level of rounding used in financial results	Lakhs
Reporting Quarter	Third quarter
Nature of report standalone or consolidated	Standalone
Whether results are audited or unaudited	Unaudited
Segment Reporting	Multi segment
Description of single segment	

For ROCKON ENTERPRISES LIMITED

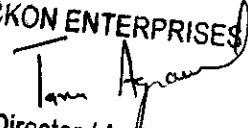
*Tom Agnew*  
Director / Authorised Signatory

<b>Quarterly &amp; Half Yearly Financial Result by Companies Other than Banks</b>							
<b>Particulars</b>		<b>3 months ended (dd-mm-yyyy)</b>	<b>Previous 3 months ended (dd-mm-yyyy)</b>	<b>Corresponding 3 months ended in the previous year (dd-mm-yyyy)</b>	<b>Year to date figures for current period ended (dd-mm-yyyy)</b>	<b>Year to date figures for previous period ended (dd-mm-yyyy)</b>	<b>Previous accounting year ended (dd-mm-yyyy)</b>
A	Date of start of reporting period	01-10-2016	01-07-2016	01-10-2015	01-04-2016	01-04-2015	01-04-2015
B	Date of end of reporting period	31-12-2016	30-09-2016	31-12-2015	31-12-2016	31-12-2015	31-03-2016
C	Whether results are audited or unaudited	Unaudited	Unaudited	Unaudited	Unaudited	Unaudited	Audited
D	Nature of report standalone or consolidated	Standalone	Standalone	Standalone	Standalone	Standalone	Standalone
<b>Part I</b>							
<b>1 Revenue From Operations</b>							
	Net sales or Revenue from Operations	0	208.572	69.26	380.579	121.26	426.953
	Other operating revenues	29.819	61.133	0	90.952	0	0
	<b>Total Revenue from operations (net)</b>	<b>29.819</b>	<b>269.705</b>	<b>69.26</b>	<b>471.531</b>	<b>121.26</b>	<b>426.953</b>
<b>2 Expenses</b>							
	(a) Cost of materials consumed	0	0	0	0	0	0
	(b) Purchases of stock-in-trade	0	289.905	179.74	423.475	179.74	489.264
	Changes in inventories of finished goods,						

For ROCKON ENTERPRISES LIMITED


 Director / Authorised Signatory

(c)	work-in-progress and stock-in-trade	15.419	12.186	-72.45	45.285	-80.71	-44.408
(d)	Employee benefit expense	3.329	3.627	2.77	11.038	9.97	13.96
(e)	Depreciation and amortisation expense	0.068	0.069	0	0.205	0	0.023
(f)	<b>Other Expenses</b>						
1	Other Expenses	2.823	2.334	2.14	6.695	6.41	9.943
2	Conveyance and Transportation Expenses	0	0	0.75	0.806	0	0
3	Custodial Fees	0	0	0.9	1.26	0	0
4	Listing Fees	0	0	0	2	2	0
	<b>Total other expenses</b>	<b>2.823</b>	<b>2.334</b>	<b>3.79</b>	<b>10.761</b>	<b>8.41</b>	<b>9.943</b>
	<b>Total expenses</b>	<b>21.639</b>	<b>308.121</b>	<b>113.85</b>	<b>490.764</b>	<b>117.41</b>	<b>468.782</b>

For ROCKON ENTERPRISES LIMITED  
  
 Director / Authorised Signatory

### Quarterly & Half Yearly Financial Result by Companies Other than Banks

Particulars		3 months ended (dd-mm-yyyy)	Previous 3 months ended (dd-mm-yyyy)	Corresponding 3 months ended in the previous year (dd-mm-yyyy)	Year to date figures for current period ended (dd-mm-yyyy)	Year to date figures for previous period ended (dd-mm-yyyy)	Previous accounting year ended (dd-mm-yyyy)
A	Date of start of reporting period	01-10-2016	01-07-2016	01-10-2015	01-04-2016	01-04-2015	01-04-2015
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C	Whether results are audited or unaudited	Unaudited	Unaudited	Unaudited	Unaudited	Unaudited	Audited
D	Nature of report standalone or consolidated	Standalone	Standalone	Standalone	Standalone	Standalone	Standalone
<b>Part I</b>							
3	<b>Profit (loss) from operations before other income, finance costs and exceptional items</b>	8.18	-38.416	-44.59	-19.233	3.85	-41.829
4	Other income	0.033	0.015	0.54	0.075	0.72	0.849
5	<b>Profit (loss) from ordinary activities before finance costs and exceptional items</b>	8.213	-38.401	-44.05	-19.158	4.57	-40.98
6	Finance costs	0	0	0	0	0.05	0
	<b>Profit (loss) from ordinary activities after finance costs but before exceptional items</b>	8.213	-38.401	-44.05	-19.158	4.52	-40.98
	Prior period items before tax	0	0	0	0	0	0
9	Exceptional items	0	0	0	0	0	0

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*Tanu Agnew*  
Director / Authorised Signatory

10	<b>Profit (loss) from ordinary activities before tax</b>	8.213	-38.401	-44.05	-19.158	4.52	-40.98
11	Tax Expense	0	0	-5.08	0	1	4.207
12	<b>Net profit (loss) from ordinary activities after tax</b>	8.213	-38.401	-38.97	-19.158	3.52	-45.187
13	Extraordinary items	0	0	0	0	0	0
14	<b>Net Profit Loss for the period from continuing operations</b>	8.213	-38.401	-38.97	-19.158	3.52	-45.187
15	Profit (loss) from discontinuing operations before tax	0	0	0	0	0	0
16	Tax expense of discontinuing operations	0	0	0	0	0	0
17	<b>Net profit (loss) from discontinuing operation after tax</b>	0	0	0	0	0	0
18	<b>Profit (loss) for period before minority interest</b>	8.213	-38.401	-38.97	-19.158	3.52	-45.187
21	<b>Net Profit (loss) after taxes minority interest and share of profit (loss) of associates</b>	8.213	-38.401	-38.97	-19.158	3.52	-45.187

For ROCKON ENTERPRISES LIMITED

*Tom Agnew*  
Director / Authorised Signatory

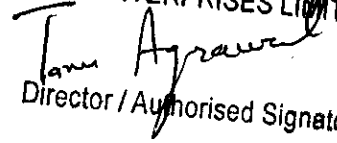
<b>Quarterly &amp; Half Yearly Financial Result by Companies Other than Banks</b>							
<b>Particulars</b>		<b>3 months ended (dd-mm-yyyy)</b>	<b>Previous 3 months ended (dd-mm-yyyy)</b>	<b>Corresponding 3 months ended in the previous year (dd-mm-yyyy)</b>	<b>Year to date figures for current period ended (dd-mm-yyyy)</b>	<b>Year to date figures for previous period ended (dd-mm-yyyy)</b>	<b>Previous accounting year ended (dd-mm-yyyy)</b>
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C	Whether results are audited or unaudited	Unaudited	Unaudited	Unaudited	Unaudited	Unaudited	Audited
D	Nature of report standalone or consolidated	Standalone	Standalone	Standalone	Standalone	Standalone	Standalone
<b>Part I</b>							
<b>22</b>	<b>Details of equity share capital</b>						
	Paid-up equity share capital	1651.77	1651.77	1651.77	1651.77	1651.77	1651.77
	Face value of equity share capital	10	10	10	10	10	10
<b>23</b>	<b>Details of debt securities</b>						
24	Reserves excluding revaluation reserve	0	0	0	0	0	-87.6
<b>26</b>	<b>Earnings per share</b>						
	Earnings per share before extraordinary items						

For ROCKON ENTERPRISES LIMITED


 Director/Authorised Signatory



	Basic earnings per share before extraordinary items	0.05	-0.232	-0.24	-0.116	0.02	-0.274
	Diluted earnings per share before extraordinary items	0.05	-0.232	-0.24	-0.116	0.02	-0.274
<b>ii</b>	<b>Earnings per share after extraordinary items</b>						
	Basic earnings per share after extraordinary items	0.05	-0.232	-0.24	-0.116	0.02	-0.274
	Diluted earnings per share after extraordinary items	0.05	-0.232	-0.24	-0.116	0.02	-0.274
<b>30</b>	<b>Disclosure of notes on financial results</b>	Textual Information(1)					

For ROCKON ENTERPRISES LIMITED  
  
 Director / Authorised Signatory

<b>Text Block</b>	
Textual Information(1)	<p>1. The above financial results for the quarter ended 31 December, 2016 have been reviewed by the Audit Committee and approved by the Board of Directors at its Meeting held on 08th february, 2017 and received by Statutory Auditors.</p> <p>2. The figure for the previous quarter/year have been re-grouped/ re-classified / re-stated wherever necessary.</p> <p>3. Investor Complaint for the Quarter Ended 31/12/2016: Opening - 0, Received -0, Resolved -0, Closing - 0.</p> <p>4. This Result is available on our Website <a href="http://www.rockonfintech.com">www.rockonfintech.com</a> and on the website of BSE i.e. <a href="http://www.bseindia.com">www.bseindia.com</a></p> <p>5. The Company operates in two Business Segment i.e. Commodity Trading Business and Finance Business Activities. Business segment has been identified as separable primary segment in accordance with Accounting Standard 17 'Segment Reporting' as prescribed under Companies (Accounting Standards) Rules, 2006, taking into Account the organisational and internal reporting structure as well as evaluation of risk and return of this segments.</p> <p>6. Provision for Taxation, if any, will be made at the end of the Financial Year and hence not provided on quarterly basis.</p>

FOR ROCKON ENTERPRISES LIMITED

*Tomu Agrawal*  
Director / Authorised Signatory

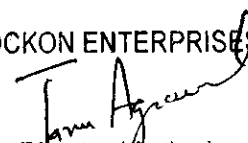
<b>Format for Reporting Segmenet wise Revenue, Results and Capital Employed along with the company results</b>							
<b>Particulars</b>	<b>3 months ended (dd-mm-yyyy)</b>	<b>Previous 3 months ended (dd-mm-yyyy)</b>	<b>Corresponding 3 months ended in the previous year (dd-mm-yyyy)</b>	<b>Year to date figures for current period ended (dd-mm-yyyy)</b>	<b>Year to date figures for previous period ended (dd-mm-yyyy)</b>	<b>Previous accounting year ended (dd-mm-yyyy)</b>	
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Date of end of reporting period	31-12-2016	30-09-2016	31-12-2015	31-12-2016	31-12-2015	31-03-2016	
Whether accounts are audited or unaudited	Unaudited	Unaudited	Unaudited	Unaudited	Unaudited	Audited	
Nature of report standalone or consolidated	Standalone	Standalone	Standalone	Standalone	Standalone	Standalone	
<b>1</b>	<b>Segment Revenue (Income)</b>						
	<b>(net sale/income from each segment should be disclosed)</b>						
1	Commodity Trading Business	0	240.274	32.23	352.744	33.23	314.874
2	Finance Business	29.819	29.431	36.03	118.787	88.03	112.079
3	Other operation Busines	0.033	0.015	0.54	0.075	0.72	0.849
	<b>Total Segment Revenue</b>	29.852	269.72	68.8	471.606	121.98	427.802
	<b>Less: Inter segment revenue</b>	0	0	0	0	0	0
	<b>Revenue from Operations</b>	29.852	269.72	68.8	471.606	121.98	427.802
<b>2</b>	<b>Segment Result</b>						
	<b>Profit (+) / Loss (-) before tax and interest from each segment</b>						

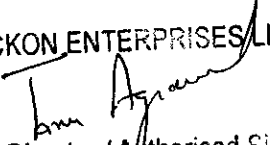
ROCKON ENTERPRISES LIMITED


  
Director / Authorised Signatory

1	Commodity Trading Business	-1.107	-49.631	-51.05	-51.088	-51.05	-51.066
2	Finance Business	15.508	17.245	13.02	53.86	73.28	31.866
3	Other operation Business	0.033	0.015	0.54	0.075	0.72	0.862
	<b>Total Profit before tax</b>	14.434	-32.371	-37.49	2.847	22.95	-18.338
	<b>i. Finance cost</b>	0	0	0	0	0	0
	<b>ii. Other Unallocable Expenditure net off Unallocable income</b>	6.221	6.03	6.56	22.005	18.43	22.642
	<b>Profit before tax</b>	8.213	-38.401	-44.05	-19.158	4.52	-40.98
<b>3</b>	<b>Capital Employed</b>						
	<b>(Segment Asset - Segment Liabilities)</b>						
1	Commodity Trading Business	-145.13	-30.35	-18.62	-145.13	-18.62	0
2	Finance Business	1690.137	1567.145	1631.48	1690.137	1631.48	1564.165
3	Other operation Business	0	0	0	0	0	0
	<b>Total Capital Employed</b>	1545.007	1536.795	1612.86	1545.007	1612.86	1564.165
	<b>Disclosure of notes on segments</b>						

For ROCKON ENTERPRISES LIMITED

  
 Director / Authorised Signatory

For ROCKON ENTERPRISES LIMITED  
  
Director / Authorised Signatory



*Pravin Chandak*  
*&*  
*Associates*

Chartered Accountants

### LIMITED REVIEW REPORT

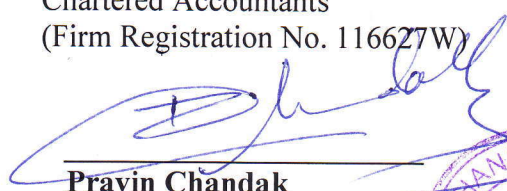
To,  
Board of Directors,  
**ROCKON ENTERPRISES LIMITED**

We have reviewed the accompanying statement of unaudited financial results of **ROCKON ENTERPRISES LIMITED (formerly known as Rockon Fintech Limited)** for the quarter ended 31<sup>st</sup> December 2016, which has been initialed by us for the identification purpose except for the disclosures regarding 'Public Shareholding' and 'Promoter and Promoter Group shareholding' which have been traced from disclosures made by the management and have not been audited by us. This statement is the responsibility of the company's management and has been approved by the Board of Directors /committee of Board of Directors. Our responsibility is to issue a report on these financial statements based on our review.

We conducted our review in accordance with the Standard on Review Engagement (SRE) 2410, *Engagements to Review Financial Statements* issued by the Institute of Chartered Accountants of India. This standard requires that we plan and perform the review to obtain moderate assurance as to whether the financial statements are free of material misstatement. A review is limited primarily to inquiries of company personnel and analytical procedures applied to financial data and thus provide less assurance than an audit. We have not performed an audit and accordingly, we do not express an audit opinion.

Based on our review conducted as above, nothing has come out to our notice that causes us to believe that the accompanying statement of Un-audited Financial Result has not disclosed the information required to be disclosed in terms of Clause 41 of the Listing Agreement including the manner in which it is to be disclosed, or that it contains any material misstatement.

**For Pravin Chandak & Associates**  
Chartered Accountants  
(Firm Registration No. 116627W)

  
**Pravin Chandak**  
Partner  
Membership No. 049391



Place: Mumbai  
Date: 08<sup>th</sup> February 2017.