

**General information about company**

Scrip code	531447
Name of the company	ROCKON FINTECH LIMITED-NEW EQUITY SH
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	31-12-2016
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)

Sr. No.	<b>Particular</b>	Yes/No
1	Whether the Listed Entity has issued any partly paid up shares?	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No
3	Whether the Listed Entity has issued any Warrants ?	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No
5	Whether the Listed Entity has any shares in locked-in?	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No
7	Whether company has equity shares with differential voting rights?	No

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V) + (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	2	664586			664586	4.02	664586		664586	4.02
(B)	Public	3592	15853104			15853104	95.98	15853104		15853104	95.98
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	<b>Total</b>	3594	16517690			16517690	100	16517690		16517690	100

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				4.02					664586
(B)	Public				95.98					13806495
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100					14471081



(B)=(B)(1)+ (B)(2)+(B) (3)		3592	15853104			15853104	95.98	15853104		15853104	95.98
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		3594	16517690			16517690	100	16517690		16517690	100
Total (A+B+C)		3594	16517690			16517690	100	16517690		16517690	100

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(d)				4.02					664586
Sub-Total (A) (1)				4.02					664586
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)				4.02					664586
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(f)				0.61					100000
Sub-Total (B) (1)				0.61					100000
( 3 )	Non-institutions								
(a(i))				12.03					982026
(a(ii))				59.83					8882323
(e)				23.5					3842146
Sub-Total (B) (3)				95.37					13706495
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)				95.98					13806495
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total ( A+B+C2 )				100					14471081
Total (A+B+C )				100					14471081

**Any Other (specify)**

Serial No.	1	2	
Category	Bodies Corporate	Bodies Corporate	<a href="#">Click here to go back</a>
Name of the Shareholders (I)	PROAIM ENTERPRISES LIMITED	HANDFUL INVESTRADE PRIVATE LIMITED	
PAN (II)	AADCS8871M	AAACH2018Q	Total
No. of the Shareholders (I)	1	1	2
No. of fully paid up equity shares held (IV)	58900	605686	664586
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	58900	605686	664586
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.36	3.67	4.02
<b>Number of Voting Rights held in each class of securities (IX)</b>			
Class eg: X	58900	605686	664586
Class eg:y			
Total	58900	605686	664586
Total as a % of Total Voting rights	0.36	3.67	4.02
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a			



percentage of diluted share capital) (XI)= (VII) + (X) As a % of (A+B+C2)	0.36	3.67	4.02
Number of Locked in shares (XII)			
No. (a)			
As a % of total Shares held (b)			
Number of Shares pledged or otherwise encumbered (XIII)			
No. (a)			
As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	58900	605686	664586
Reason for not providing PAN			

**Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.**

Serial No.	1	2	3	4	5	6	7
Name of the Shareholders (I)	CHANDRAKANT BABU MOHITE	KASHIRAM PUNDALIK KADAM	MANGESH MADHUKAR DHOTRE	PRASHANT SHASHIKANT SAWANT	SANDESH BABARAM MHABDI .	RAJESH TUKARAM DAMBRE	WAKIL RAJBHAR
PAN (II)		AHUPK9461E	AJPPD8297E	APMPS4035A	AMZPM1605Q	AHAPD8240L	AOSPR9100E
No. of fully paid up equity shares held (IV)	1000744	373192	333106	417390	183555	171801	200000
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	1000744	373192	333106	417390	183555	171801	200000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	6.06	2.26	2.02	2.53	1.11	1.04	1.21
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	1000744	373192	333106	417390	183555	171801	200000
Class eg:y							
Total	1000744	373192	333106	417390	183555	171801	200000
Total as a % of Total Voting rights	6.06	2.26	2.02	2.53	1.11	1.04	1.21
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a %							

assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	6.06	2.26	2.02	2.53	1.11	1.04	1.21
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	0	373192	333106	417390	183555	171801	200000
Reason for not providing PAN							
Reason for not providing PAN	Textual Information(1)						

**Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.**

Searial No.	8	9	10	11	12	13	14
Name of the Shareholders (I)	KETAN JASHWANTLAL SHAH	DHIRENDRA KUMAR JHA	AMBALAL JHAVERBHAI PATEL	BHAWARPURI B GOSWAMI	SUNIL KUKREJA	MOHAN KUKREJA	KAMLESH BHIKHABHAI SHAH
PAN (II)	AMWPS6223R	ATRPJ5359D	CTBPP8185P	AJCPG3474B	ABLPK2370F	ABKPK1330N	GLBPS9410L
No. of fully paid up equity shares held (IV)	187850	780942	646238	493317	800000	800000	225251
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	187850	780942	646238	493317	800000	800000	225251
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.14	4.73	3.91	2.99	4.84	4.84	1.36
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	187850	780942	646238	493317	800000	800000	225251
Class eg:y							
Total	187850	780942	646238	493317	800000	800000	225251
Total as a % of Total Voting rights	1.14	4.73	3.91	2.99	4.84	4.84	1.36
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a %							

assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.14	4.73	3.91	2.99	4.84	4.84	1.36
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	187850	780942	646238	493317	800000	800000	225251
Reason for not providing PAN							
Reason for not providing PAN							

**Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.**

Serial No.	15	16	17	18	
Name of the Shareholders (I)	SHWETA SHYAM PEDAMKAR	SHYAM LAXMAN PEDAMKAR	HEMANGINI VINITKUMAR PARIKH	RAFIYUDEEN NARUDEEN SAEYD	<a href="#">Click here to go back</a>
PAN (II)	BGZPP3221L	AGGPP8468F	CYMPP9930J	BJLPS7562N	Total
No. of fully paid up equity shares held (IV)	670117	393160	707437	499208	8883308
No. Of Partly paid-up equity shares held (V)					
No. Of shares underlying Depository Receipts (VI)					
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	670117	393160	707437	499208	8883308
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	4.06	2.38	4.28	3.02	53.78
Number of Voting Rights held in each class of securities (IX)					
Class eg: X	670117	393160	707437	499208	8883308
Class eg:y					
Total	670117	393160	707437	499208	8883308
Total as a % of Total Voting rights	4.06	2.38	4.28	3.02	53.78
No. Of Shares Underlying Outstanding convertible securities (X)					
No. of Shares Underlying Outstanding Warrants (Xi)					
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)					
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of	4.06	2.38	4.28	3.02	53.78

(A+B+C2)					
Number of Locked in shares (XII)					
No. (a)					
As a % of total Shares held (b)					
Number of equity shares held in dematerialized form (XIV)	670117	393160	707437	499208	7882564
Reason for not providing PAN					
Reason for not providing PAN					

**Text Block**

Textual Information(1)

Not available with RTA



**Any Other (specify)**

Serial No.	1	2	3	4	5	6	7
Category	NRI – Repat	NRI – Non- Repat	HUF	Clearing Members	Bodies Corporate	Bodies Corporate	Bodies Corporate
Category / More than 1 percentage	Category	Category	Category	Category	Category	More than 1 percentage of shareholding	More than 1 percentage of shareholding
Name of the Shareholders (I)						RUPAK DEVELOPERS PVT LTD	SHREEHARI VINIMAY PRIVATE LIMITED
PAN (II)						AADCR6341P	AAJCS5620A
No. of the Shareholders (I)	5	8	31	10	54	1	1
No. of fully paid up equity shares held (IV)	2991	9658	26170	126151	3717376	344290	210000
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	2991	9658	26170	126151	3717376	344290	210000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.02	0.06	0.16	0.76	22.51	2.08	1.27
<b>Number of Voting Rights held in each class of securities (IX)</b>							
Class eg: X	2991	9658	26170	126151	3717376	344290	210000
Class eg:y							
Total	2991	9658	26170	126151	3717376	344290	210000
Total as a % of Total Voting rights	0.02	0.06	0.16	0.76	22.51	2.08	1.27
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi)							

(a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	0.02	0.06	0.16	0.76	22.51	2.08	1.27
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	2991	858	26170	126151	3685976	344290	210000
Reason for not providing PAN							
Reason for not providing PAN							

**Any Other (specify)**

Serial No.	8	9	10	11	12	13	14
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate
Category / More than 1 percentage	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding
Name of the Shareholders (I)	STARTREE DEALCOM PRIVATE LIMITED	ASTABHUJA CONSTRUCTION PVT. LTD.	NITYADHARA PLAZA PVT. LTD.	GLOBE CAPITAL MARKET LTD	ELLISBRIDGE TRADING PRIVATE LIMITED	ROCKERS TRADELINK PRIVATE LIMITED	SNOWBLUE STOCKIEST PRIVATE LIMITED
PAN (II)	AARCS2311N	AAKCA4137B	AADCN9427C	AAACG4267G	AACCE3455C	AAECR2957A	AARCS2280G
No. of the Shareholders (I)	1	1	1	1	1	1	1
No. of fully paid up equity shares held (IV)	193500	200000	210000	594935	496910	374088	536914
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	193500	200000	210000	594935	496910	374088	536914
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.17	1.21	1.27	3.6	3.01	2.26	3.25
<b>Number of Voting Rights held in each class of securities (IX)</b>							
Class eg: X	193500	200000	210000	594935	496910	374088	536914
Class eg:y							
Total	193500	200000	210000	594935	496910	374088	536914
Total as a % of Total Voting rights	1.17	1.21	1.27	3.6	3.01	2.26	3.25
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							

No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.17	1.21	1.27	3.6	3.01	2.26	3.25
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	193500	200000	210000	594935	496910	374088	536914
Reason for not providing PAN							
Reason for not providing PAN							

**Any Other (specify)**

Serial No.	
Category	
Category / More than 1 percentage	
Name of the Shareholders (I)	<a href="#">Click here to go back</a>
PAN (II)	Total
No. of the Shareholders (I)	108
No. of fully paid up equity shares held (IV)	3882346
No. Of Partly paid-up equity shares held (V)	
No. Of shares underlying Depository Receipts (VI)	
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	3882346
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	23.51
<b>Number of Voting Rights held in each class of securities (IX)</b>	
Class eg: X	3882346
Class eg:y	
Total	3882346
Total as a % of Total Voting rights	23.51
No. Of Shares Underlying Outstanding convertible securities (X)	
No. of Shares Underlying Outstanding Warrants (Xi)	
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	
Shareholding , as a % assuming full	

conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) + (X) As a % of (A+B+C2)	23.51
Number of Locked in shares (XII)	
No. (a)	
As a % of total Shares held (b)	
Number of equity shares held in dematerialized form (XIV)	3842146
Reason for not providing PAN	
Reason for not providing PAN	

