

General information about company

Scrip code	531447
NSE Symbol	
MSEI Symbol	
ISIN	INE644B01049
Name of the company	ROCKON ENTERPRISES LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	31-12-2018
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)

Declaration

Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter- Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	2	664586			664586	4.02	664586		664586	4.02
(B)	Public	3603	15853104			15853104	95.98	15853104		15853104	95.98
(C)	Non Promoter- Non Public										
(C1)	Shares underlying DRs										
	Shares										

(C2)	held by Employee Trusts										
	Total	3605	16517690			16517690	100	16517690		16517690	100

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				4.02					664586
(B)	Public				95.98					13809580
(C)	Non Promoter- Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100					14474166

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(d)	Any Other (specify)	2	664586			664586	4.02	664586		664586	4.02
Sub-Total (A)(1)		2	664586			664586	4.02	664586		664586	4.02
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		2	664586			664586	4.02	664586		664586	4.02
B	Table III - Statement showing shareholding pattern of the Public shareholder										

(1)	Institutions										
(f)	Financial Institutions/ Banks	1	100000			100000	0.61	100000		100000	0.61
Sub-Total (B)(1)		1	100000			100000	0.61	100000		100000	0.61
(3)	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	3469	2033053			2033053	12.31	2033053		2033053	12.31
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	34	11006558			11006558	66.63	11006558		11006558	66.63
(e)	Any Other (specify)	99	2713493			2713493	16.43	2713493		2713493	16.43
Sub-Total (B)(3)		3602	15753104			15753104	95.37	15753104		15753104	95.37
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		3603	15853104			15853104	95.98	15853104		15853104	95.98
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		3605	16517690			16517690	100	16517690		16517690	100
Total (A+B+C)		3605	16517690			16517690	100	16517690		16517690	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group									
(1)	Indian									
(d)				4.02						664586
Sub-Total (A)(1)				4.02						664586
(2)	Foreign									
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)				4.02						664586
B	Table III - Statement showing shareholding pattern of the Public shareholder									
(1)	Institutions									

(f)				0.61					100000
Sub-Total (B)(1)				0.61					100000
(3)	Non-institutions								
(a(i))				12.31					1030473
(a(ii))				66.63					10005814
(e)				16.43					2673293
Sub-Total (B)(3)				95.37					13709580
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)				95.98					13809580
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total (A+B+C2)				100					14474166
Total (A+B+C)				100					14474166

Any Other (specify)			
Serial No.	1	2	
Category	Bodies Corporate	Bodies Corporate	Click here to go back
Name of the Shareholders (I)	HANDFUL INVESTRADE PRIVATE LIMITED	PROAIM ENTERPRISES LIMITED	
PAN (II)	AAACH2018Q	AADCS8871M	Total
No. of the Shareholders (I)	1	1	2
No. of fully paid up equity shares held (IV)	605686	58900	664586
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+(V)+ (VI)	605686	58900	664586
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	3.67	0.36	4.02
Number of Voting Rights held in each class of securities (IX)			
Class eg: X	605686	58900	664586
Class eg:y			
Total	605686	58900	664586
Total as a % of Total Voting rights	3.67	0.36	4.02
No. Of Shares Underlying Outstanding convertible securities (X)			

No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	3.67	0.36	4.02
Number of Locked in shares (XII)			
No. (a)			
As a % of total Shares held (b)			
Number of Shares pledged or otherwise encumbered (XIII)			
No. (a)			
As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	605686	58900	664586
Reason for not providing PAN			
Reason for not providing PAN			
Shareholder type	Promoter Group	Promoter Group	

Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.

Searial No.	1	2	3	4	5	6	7
Name of the Shareholders (I)	CHANDRAKANT BABU MOHITE	SUNIL KUKREJA	MOHAN KUKREJA	DHIRENDRA KUMAR JHA	HEMANGINI VINITKUMAR PARIKH	SHWETA SHYAM PEDAMKAR	AMBALAL JHAVERBHAI PATEL
PAN (II)		ABLPK2370F	ABKPK1330N	ATRPJ5359D	CYMPP9930J	BGZPP3221L	CTBPP8185P
No. of fully paid up equity shares held (IV)	1000744	800000	800000	780942	707437	670117	646238
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) =	1000744	800000	800000	780942	707437	670117	646238

(IV)+(V)+ (VI)							
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	6.06	4.84	4.84	4.73	4.28	4.06	3.91
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	1000744	800000	800000	780942	707437	670117	646238
Class eg:y							
Total	1000744	800000	800000	780942	707437	670117	646238
Total as a % of Total Voting rights	6.06	4.84	4.84	4.73	4.28	4.06	3.91
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	6.06	4.84	4.84	4.73	4.28	4.06	3.91
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	0	800000	800000	780942	707437	670117	646238
Reason for not providing PAN							
Reason for not providing PAN	Textual Information(1)						

Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.

Serial No.	8	9	10	11	12	13	14
Name of the	ANKIT		RAFIYUDEEN	HARDIK	PRASHANT		KASHIRAM

Shareholders (I)	JAGDISHBHAI PITHAVA	DHARMESHBHAI J VAGHELA	NARUDEEN SAEYD	NATAVARBHAI PANCHAL	SHASHIKANT SAWANT	PRAVEEN KUMAR	PUNDALIK KADAM
PAN (II)	BXVPP0489B	ANWPV9496L	BJLPS7562N	CVKPP2543J	APMPS4035A	DRRPK7321D	AHUPK9461E
No. of fully paid up equity shares held (IV)	631861	594935	499208	493317	417390	397376	373192
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+(V)+(VI)	631861	594935	499208	493317	417390	397376	373192
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	3.83	3.6	3.02	2.99	2.53	2.41	2.26
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	631861	594935	499208	493317	417390	397376	373192
Class eg:y							
Total	631861	594935	499208	493317	417390	397376	373192
Total as a % of Total Voting rights	3.83	3.6	3.02	2.99	2.53	2.41	2.26
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	3.83	3.6	3.02	2.99	2.53	2.41	2.26

(XI)= (VII)+(X) As a % of (A+B+C2)							
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	631861	594935	499208	493317	417390	397376	373192
Reason for not providing PAN							
Reason for not providing PAN							

Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.						
Searial No.	15	16	17	18	19	
Name of the Shareholders (I)	MANGESH MADHUKAR DHOTRE	HANSA B PATEL	WAKIL RAJBHAR	KETAN JASHWANTLAL SHAH	SANDESH BABARAM MHABDI .	Click here to go back
PAN (II)	AJPPD8297E	AOBPP2678G	AOSPR9100E	AMWPS6223R	AMZPM1605Q	Total
No. of fully paid up equity shares held (IV)	333106	225251	200000	187850	183555	9942519
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+(V)+ (VI)	333106	225251	200000	187850	183555	9942519
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.02	1.36	1.21	1.14	1.11	60.19
Number of Voting Rights held in each class of securities (IX)						
Class eg: X	333106	225251	200000	187850	183555	9942519
Class eg:y						
Total	333106	225251	200000	187850	183555	9942519
Total as a % of Total Voting rights	2.02	1.36	1.21	1.14	1.11	60.19
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares						

Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	2.02	1.36	1.21	1.14	1.11	60.19	
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	333106	225251	200000	187850	183555	8941775	
Reason for not providing PAN							
Reason for not providing PAN							

Text Block	
Textual Information(1)	Not available with RTA

Any Other (specify)							
Serial No.	1	2	3	4	5	6	7
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate
Category / More than 1 percentage	Category	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding
Name of the Shareholders (I)		SNOWBLUE STOCKIEST PRIVATE LIMITED	ELLISBRIDGE TRADING PRIVATE LIMITED	ROCKERS TRADELINK PRIVATE LIMITED	NITYADHARA PLAZA PVT. LTD.	SHREEHARI VINIMAY PRIVATE LIMITED	ASTABHUJA CONSTRUCTION PVT. LTD.
PAN (II)		AARCS2280G	AACCE3455C	AAECR2957A	AADCN9427C	AAJCS5620A	AAKCA4137B
No. of the Shareholders (I)	44	1	1	1	1	1	1
No. of fully paid up equity shares held (IV)	2537819	536914	496910	374088	210000	210000	200000
No. Of Partly paid-up equity							

shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+(V)+ (VI)	2537819	536914	496910	374088	210000	210000	200000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	15.36	3.25	3.01	2.26	1.27	1.27	1.21
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	2537819	536914	496910	374088	210000	210000	200000
Class eg:y							
Total	2537819	536914	496910	374088	210000	210000	200000
Total as a % of Total Voting rights	15.36	3.25	3.01	2.26	1.27	1.27	1.21
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	15.36	3.25	3.01	2.26	1.27	1.27	1.21
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	2506419	536914	496910	374088	210000	210000	200000
Reason for not providing PAN							
Reason for not providing PAN							

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Any Other (specify)

Searial No.	8	9	10	11	
Category	Bodies Corporate	Clearing Members	Non-Resident Indian (NRI)	HUF	
Category / More than 1 percentage	More than 1 percentage of shareholding	Category	Category	Category	
Name of the Shareholders (I)	STARTREE DEALCOM PRIVATE LIMITED				Click here to go back
PAN (II)	AARCS2311N				Total
No. of the Shareholders (I)	1	8	13	34	99
No. of fully paid up equity shares held (IV)	193500	136525	12649	26500	2713493
No. Of Partly paid-up equity shares held (V)					
No. Of shares underlying Depository Receipts (VI)					
Total nos. shares held (VII) = (IV)+(V)+ (VI)	193500	136525	12649	26500	2713493
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.17	0.83	0.08	0.16	16.43
Number of Voting Rights held in each class of securities (IX)					
Class eg: X	193500	136525	12649	26500	2713493
Class eg:y					
Total	193500	136525	12649	26500	2713493
Total as a % of Total Voting rights	1.17	0.83	0.08	0.16	16.43
No. Of Shares Underlying Outstanding convertible securities (X)					
No. of Shares Underlying Outstanding Warrants (Xi)					
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)					
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share	1.17	0.83	0.08	0.16	16.43

capital) (XI)= (VII)+(X) As a % of (A+B+C2)					
Number of Locked in shares (XII)					
No. (a)					
As a % of total Shares held (b)					
Number of equity shares held in dematerialized form (XIV)	193500	136525	3849	26500	2673293
Reason for not providing PAN					
Reason for not providing PAN					